

# **Interpreter and Transpiler for Simple Expressions on Nvidia GPUs using Julia**

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**MASTERARBEIT**

eingereicht am  
Fachhochschul-Masterstudiengang

Software Engineering

in Hagenberg

im Januar 2025

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# Declaration

I hereby declare and confirm that this thesis is entirely the result of my own original work. Where other sources of information have been used, they have been indicated as such and properly acknowledged. I further declare that this or similar work has not been submitted for credit elsewhere. This printed copy is identical to the submitted electronic version.

Hagenberg, January 1, 2025

Daniel Roth

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# Abstract

This should be a 1-page (maximum) summary of your work in English.

# Kurzfassung

An dieser Stelle steht eine Zusammenfassung der Arbeit, Umfang max. 1 Seite. ...



# Chapter 1

## Introduction

This chapter provides an entry point for this thesis. First the motivation of exploring this topic is presented. In addition, the research questions of this thesis are outlined. Lastly the methodology on how to answer these questions will be explained. This master thesis is associated with the FFG COMET project ProMetHeus (#904919). The developed software is used and further developed for modelling in the ProMetHeus project.

### 1.1 Background and Motivation

Optimisation and acceleration of program code is a crucial part in many fields. For example video games need optimisation to lower the minimum hardware requirements which allows more people to run the game, increasing sales. Another example where optimisation is important are computer simulations. For those, optimisation is even more crucial, as this allows the scientists to run more detailed simulations or get the simulation results faster. Equation learning or symbolic regression is another field that can heavily benefit from optimisation. One part of equation learning, is to evaluate the expressions generated by a search algorithm which can make up a significant portion of the runtime. This thesis is concerned with optimising the evaluation part to increase the overall performance of equation learning algorithms.

The following expression  $5 - \text{abs}(x_1) \sqrt{p_1}/10 + 2^{x_2}$  which contains simple mathematical operations as well as variables  $x_n$  and parameters  $p_n$  is one example that can be generated by the equation learning algorithm. Usually an equation learning algorithm generates multiple of such expressions per iteration. Out of these expressions all possibly relevant ones have to be evaluated. Additionally, multiple different values need to be inserted for all variables and parameters, drastically increasing the amount of evaluations that need to be performed.

In his blog, Sutter (2004) described how the free lunch is over in terms of the ever-increasing performance of hardware like the CPU. He states that to gain additional performance, developers need to start developing software for multiple cores and not just hope that on the next generation of CPUs the program magically runs faster. While this approach means more development overhead, a much greater speed-up can be achieved. However, in some cases the speed-up achieved by this is still not large enough and another approach is needed. One of these approaches is the utilisation

of Graphics Processing Units (GPUs) as an easy and affordable option as compared to compute clusters. Especially when talking about performance per dollar, GPUs are very inexpensive as found by Brodtkorb et al. (2013). Michalakes and Vachharajani (2008) have shown a noticeable speed-up when using GPUs for weather simulation. In addition to computer simulations, GPU acceleration also can be found in other places such as networking (S. Han et al., 2010) or structural analysis of buildings (Georgescu et al., 2013). These solutions were all developed using CUDA<sup>1</sup>. However, it is also possible to develop assembly like code for GPUs using Parallel Thread Execution (PTX)<sup>2</sup> to gain more control.

## 1.2 Research Question

With these successful implementations of GPU acceleration, this thesis also attempts to improve the performance of evaluating mathematical equations, generated at runtime for symbolic regression using GPUs. Therefore, the following research questions are formulated:

- How can simple arithmetic expressions that are generated at runtime be efficiently evaluated on GPUs?
- Under what circumstances is the evaluation of simple arithmetic expressions faster on a GPU than on a CPU?
- Under which circumstances is the interpretation of the expressions on the GPU or the translation to the intermediate language Parallel Thread Execution (PTX) more efficient?

Answering the first question is necessary to ensure the approach of this thesis is actually feasible. If it is feasible, it is important to evaluate if evaluating the expressions on the GPU actually improves the performance over a parallelised CPU evaluator. To answer if the GPU evaluator is faster than the CPU evaluator, the last research question is important. As there are two major ways of implementing an evaluator on the GPU, they need to be implemented and evaluated to finally state if evaluating expressions on the GPU is faster and if so, which type of implementation results in the best performance.

## 1.3 Thesis Structure

In order to answer the research questions, this thesis is divided into the following chapters:

### **Chapter 2: Fundamentals and Related Work**

In this chapter, the topic of this thesis is explored. It covers the fundamentals of equation learning and how this thesis fits into this field of research. In addition, the fundamentals of General Purpose GPU computing and how interpreters and transpilers work are explained. Previous research already done within this topic is also explored.

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<sup>1</sup><https://developer.nvidia.com/cuda-toolkit>

<sup>2</sup><https://docs.nvidia.com/cuda/parallel-thread-execution/>

**Chapter 3: Concept and Design**

Within this chapter, the concepts of implementing the GPU interpreter and transpiler are explained. How these two prototypes can be implemented disregarding concrete technologies is part of this chapter.

**Chapter 4: Implementation**

This chapter explains the implementation of the GPU interpreter and transpiler. The details of the implementation with the used technologies are covered, such as the interpretation process and the transpilation of the expressions into Parallel Thread Execution (PTX) code.

**Chapter 5: Evaluation**

The software and hardware requirements and the evaluation environment are introduced in this chapter. All three evaluators will be compared against each other and the form of the expressions used for the comparisons are outlined. The comparison will not only include the time taken for the pure evaluation, but it will also include the overhead, like PTX code generation. Finally, the results of the comparison of the GPU and CPU evaluators are presented to show which of these yields the best performance.

**Chapter 6: Conclusion**

In the final chapter, the entire work is summarised. A brief overview of the implementation as well as the evaluation results will be provided. Additionally, an outlook of possible future research is given.

With this structure the process of creating and evaluating a basic interpreter on the GPU as well as a transpiler for creating PTX code is outlined. Research is done to ensure the implementations are relevant and not outdated. Finally, the evaluation results will answer the research questions and determine if expressions generated at runtime can be evaluated more efficiently on the GPU than on the CPU.

## Chapter 2

# Fundamentals and Related Work

The goal of this chapter is to provide an overview of equation learning or symbolic regression to establish common knowledge of the topic and problem this thesis is trying to solve. First the field of equation learning is explored which helps to contextualise the topic of this thesis. The main part of this chapter is split into two sub-parts. The first part is exploring research that has been done in the field of general purpose computations on the GPU (GPGPU) as well as the fundamentals of it. Focus lies on exploring how graphics processing units (GPUs) are used to achieve substantial speed-ups and when and where they can be effectively employed. The second part describes the basics of how interpreters and compilers are built and how they can be adapted to the workflow of programming GPUs. When discussing GPU programming concepts, the terminology used is that of Nvidia and may differ from that used for AMD GPUs.

### 2.1 Equation learning

Equation learning is a field of research that can be used for understanding and discovering equations from a set of data from various fields like mathematics and physics. Data is usually much more abundant while models often are elusive which is demonstrated by Guillemot (2022) where they explain how validating the models against large amounts of data is a big part in creating such models. Because of this effort, generating equations with a computer can more easily lead to discovering equations that describe the observed data. In one instance Werner et al. (2021) described that they want to find an expression to predict the power loss of an electric machine based on known input values. They used four inputs, direct and quadratic current as well as temperature and motor speed, and they have an observed output which is the power loss. With the help of an equation learner, they were able to generate useful results.

A more literal interpretation of equation learning is demonstrated by Pfahler and Morik (2020). They use machine learning to learn the form of equations to simplify the discovery of relevant publications. Instead of searching for keywords which might differ from one field of research to another, they allow searching by the equations the publications use. This helps as the form of equations stay the same over different fields and are therefore not subject to specific terminology. However, this form of equation learning is not relevant for this thesis.

Symbolic regression is a subset of equation learning, that specialises more towards discovering mathematical equations. A lot of research is done in this field. Using the evolutionary algorithm genetic programming (GP) for different problems, including symbolic regression, was first popularised by Koza (1994). He described that finding a computer program to solve a problem for a given input and output, can be done by traversing the search space of relevant solutions. This fits well for the goal of symbolic regression, where a mathematical expression needs to be found to describe a problem with specific inputs and outputs. Later, Koza (2010) provided an overview of results that were generated with the help of GP and were competitive with human solutions, showing how symbolic regression is a useful tool. In their book *Symbolic Regression*, Kronberger et al. (2024) show how symbolic regression can be applied for real world scenarios. One of these scenarios is finding simpler but still accurate models for hydrodynamic simulations to speed up the design process of ship hulls. Another one is finding an expression to find the remaining capacity of a Lithium-ion battery by measuring its voltage. In total, they described ten scenarios from different domains to show the capabilities of symbolic regression.

Keijzer (2004), Gustafson et al. (2005), Korn (2011), Korn (2015), Brunton (2025) and many more presented ways of improving the quality of symbolic regression algorithms, making symbolic regression more feasible for problem-solving. Bartlett et al. (2024) describe an exhaustive approach for symbolic regression which can find the true optimum for perfectly optimised parameters while retaining simple and interpretable results.

Alternatives to GP for symbolic regression also exist with for example Bayesian Symbolic Regression as proposed by Jin et al. (2020). Their approach increased the quality of the results noticeably compared to GP alternatives by for example incorporating prior knowledge. In order to avoid overfitting, Bomarito et al. (2022) have proposed a way of using Bayesian model selection to combat overfitting and reduce the complexity of the generated expressions. This also helps with making the expressions more generalisable and therefore be applicable to unseen inputs.

Another alternative to meta-heuristics like GP is the usage of neural networks. One such alternative has been introduced by Martius and Lampert (2016) where they used a neural network for their equation learner with mixed results. Later, an extension has been provided by Sahoo et al. (2018). They introduced the division operator, which led to much better results. Further improvements have been described by Werner et al. (2021) with their informed equation learner. By incorporating domain expert knowledge they could limit the search space and find better solutions for particular domains. One drawback of these three implementations is the fact that their neural networks are fixed. An equation learner which can change the network at runtime and therefore evolve over time is proposed by Dong et al. (2024). Their approach further improved the results of neural network equation learners. In their work, Lemos et al. (2022) also used a neural network for symbolic regression. They were able to find an equivalent to Newton's law of gravitation and rediscovered Newton's second and third law only with trajectory data of bodies of our solar system. Although these laws were already known, this research has shown how neural networks and machine learning in general have great potential.

An implementation for an equation learner in the physics domain is proposed by Brunton et al. (2016). Their algorithm was specifically designed for nonlinear dynamics

often occurring in physical systems. An improvement to this approach was introduced by Sun et al. (2023) where they used Monte Carlo tree search. When compared to other implementations their equation learner was able to create better results but has the main drawback of high computational cost.

To generate an equation, first the operators need to be defined that make up the equation. It is also possible to define a maximum length for an expression as proposed by Koza (1994). Expressions also consist of constants as well as variables which represent the inputs. Assuming that a given problem has two variables and one parameter, the equation learner could generate an expression as seen in Equation 2.1 where  $x_n$  are the variables,  $p_1$  is the parameter and  $O$  is the output which should correspond to the observed output for the given variables.

$$O = 5 - \text{abs}(x_1) + x_2 \sqrt{p_1}/10 \quad (2.1)$$

A typical equation learner generates multiple expressions at once. If for example the equation learner generates 300 expressions per GP generation, each of these expressions needs to be evaluated at least once to determine how well they can produce the desired output. Each expression lies in a different part of the search space and with only the variables, it would not easily be possible to explore the surrounding search space. To perform for example local search in this area, the parameter  $p_1$  can be used. This local search phase helps to find the local or even global optimum. For example 50 local search steps can be used, meaning that each expression needs to be evaluated 50 times with the same variables, but different parameters. As a result, one GP generation consequently requires a total  $300 * 50 = 15\,000$  evaluations of the expressions. However, typically more than one GP generation is needed to find a good local optimum. While the exact number of generations is problem specific, for this example a total of 100 generations can be assumed. Each generation again generates 300 expressions and needs to perform 50 local search steps. This results in a total of  $300 * 50 * 100 = 1\,500\,000$  evaluations which need to be performed during the entire runtime of the GP algorithm. These values have been taken from the equation learner for predicting discharge voltage curves of batteries as described by Kronberger et al. (2024). Their equation learner converged after 54 generations, resulting in  $300 * 50 * 54 \approx 800\,000$  evaluations. Depending on the complexity of the generated expressions, performing all of these evaluations takes up a lot of the runtime. Their results took over two days to compute on an eight core desktop CPU. While they did not provide runtime information for all problems they tested, the voltage curve prediction was the slowest. The other problems were in the range of a few seconds and up to a day. Especially the problems that took several hours to days to finish show, that there is still room for performance improvements. While a better CPU with more cores can be used, it is interesting to determine, if using GPUs can yield noticeable better performance.

## 2.2 General Purpose Computation on Graphics Processing Units

Graphics cards (GPUs) are commonly used to increase the performance of many different applications. Originally they were designed to improve performance and visual quality in games. Dokken et al. (2005) first described the usage of GPUs for general

purpose programming (GPGPU). They have shown how the graphics pipeline can be used for GPGPU programming. Because this approach also requires the programmer to understand the graphics terminology, this was not a great solution. Therefore, Nvidia released CUDA<sup>1</sup> in 2007 with the goal of allowing developers to program GPUs independent of the graphics pipeline and terminology. A study of the programmability of GPUs with CUDA and the resulting performance has been conducted by Huang et al. (2008). They found that GPGPU programming has potential, even for non-embarrassingly parallel problems.

Research is also done in making the low level CUDA development simpler. T. D. Han and Abdelrahman (2011) have described a directive-based language to make development simpler and less error-prone, while retaining the performance of handwritten code. To drastically simplify CUDA development, Besard et al. (2019b) showed that it is possible to develop with CUDA in the high level programming language Julia<sup>2</sup> with similar performance to CUDA written in C. In a subsequent study W.-C. Lin and McIntosh-Smith (2021) found, that high performance computing (HPC) on the CPU and GPU in Julia performs similar to HPC development in C. This means that Julia can be a viable alternative to Fortran, C and C++ in the HPC field. Additional Julia has the benefit of developer comfort since it is a high level language with modern features such as a garbage-collector. Besard et al. (2019a) have also shown how the combination of Julia and CUDA help in rapidly developing HPC software. While this thesis in general revolves around CUDA, there also exist alternatives by AMD called ROCm<sup>3</sup> and a vendor independent alternative called OpenCL<sup>4</sup>.

If not specified otherwise, the following section and its subsections use the information presented by Nvidia (2025b) in their CUDA programming guide. While in the early days of GPGPU programming a lot of research has been done to assess if this approach is feasible, it now seems obvious to use GPUs to accelerate algorithms. GPUs have been used early to speed up weather simulation models. Michalakes and Vachharajani (2008) proposed a method for simulating weather with the Weather Research and Forecast (WRF) model on a GPU. With their approach, they reached a speed-up of 5 to 2 for the most compute intensive task, with little GPU optimisation effort. They also found that the GPU usage was low, meaning there are resources and potential for more detailed simulations.

Generally, simulations are great candidates for using GPUs, as they can benefit heavily from a high degree of parallelism and data throughput. Köster et al. (2020b) have developed a way of using adaptive time steps on the GPU to considerably improve the performance of numerical and discrete simulations. In addition to the performance gains they were able to retain the precision and constraint correctness of the simulation. Black hole simulations are crucial for science and education for a better understanding of our world. Verbraeck and Eisemann (2021) have shown that simulating complex Kerr (rotating) black holes can be done on consumer hardware in a few seconds. Schwarzschild black hole simulations can be performed in real-time with GPUs as described by Hissbach et al. (2022) which is especially helpful for educational scenarios. While both approaches

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<sup>1</sup><https://developer.nvidia.com/cuda-toolkit>

<sup>2</sup><https://julialang.org/>

<sup>3</sup><https://www.amd.com/de/products/software/rocm.html>

<sup>4</sup><https://www.khronos.org/opencl/>

do not have the same accuracy as detailed simulations on supercomputers, they show how a single GPU can yield similar accuracy at a fraction of the cost.

Software network routing can also heavily benefit from GPU acceleration as shown by S. Han et al. (2010), where they achieved a significantly higher throughput than with a CPU only implementation.

Finite element structural analysis is an essential tool for many branches of engineering and can also heavily benefit from the usage of GPUs as demonstrated by Georgescu et al. (2013).

Generating test data for DeepQ learning can also significantly benefit from using the GPU (Köster et al., 2022).

However, it also needs to be noted, that GPUs are not always better performing than CPUs as illustrated by Lee et al. (2010), so it is important to consider if it is worth using GPUs for specific tasks.

### 2.2.1 Programming GPUs

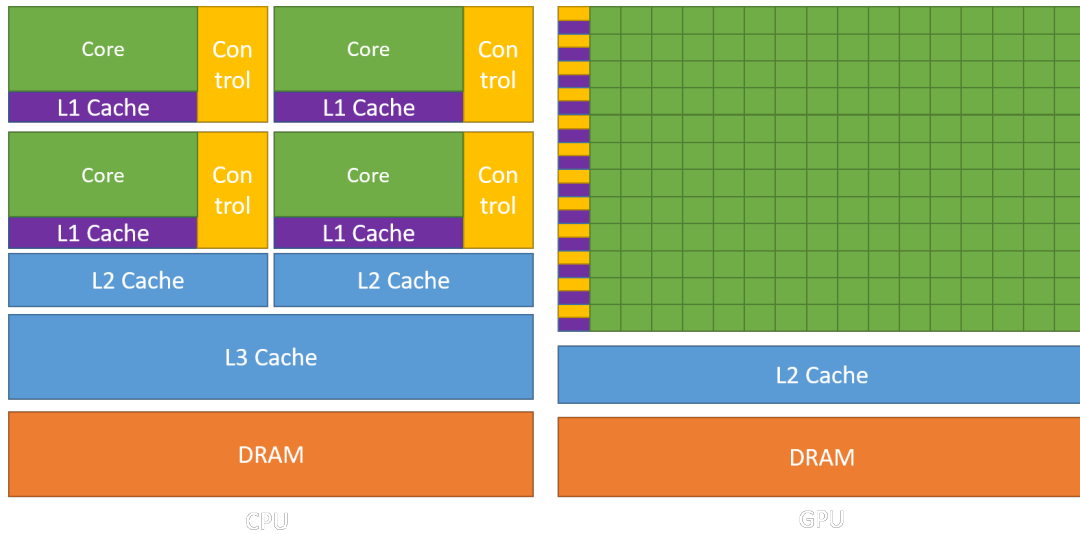
The development process on a GPU is vastly different from a CPU. A CPU has tens or hundreds of complex cores with the AMD Epyc 9965<sup>5</sup> having 192 cores and twice as many threads. To demonstrate how a modern CPU works Knuth (1999) introduced the MMIX architecture. It is a 64-bit CPU architecture containing many concepts and design decisions to compete with other CPUs on the market at that time. He provides the information in great detail and demonstrates the complexity of CPU architectures. Current CPUs are even more complex, and often contain features like sophisticated branch prediction among other things to achieve higher and higher performance. This makes a CPU perfect for handling complex control flows on a single program thread and even multiple threads simultaneously (Palacios & Triska, 2011). However, as seen in Section 2.2, this often is not enough. On the other hand, a GPU contains thousands or even tens of thousands of cores. For example, the GeForce RTX 5090<sup>6</sup> contains a total of 21 760 CUDA cores. To achieve this enormous core count, a single GPU core has to be much simpler than a single CPU core. As described by Nvidia (2025b), a GPU designates much more transistors towards floating-point computations. This, however, results in less efficient integer arithmetic and control flow handling. There is also less Cache available per core and clock speeds are usually also much lower than those on a CPU. An overview of the differences of a CPU and a GPU architecture can be seen in Figure 2.1.

Despite these drawbacks, the sheer number of cores, makes a GPU a valid choice when considering improving the performance of an algorithm. Because of the high number of cores, GPUs are best suited for data parallel scenarios. This is due to the SIMD architecture of these cards. SIMD stands for Single-Instruction Multiple-Data and states that there is a single stream of instructions that is executed on a huge number of data streams. Franchetti et al. (2005) and Tian et al. (2012) describe ways of using SIMD instructions on the CPU. Their approaches lead to noticeable speed-ups of 3.3 and 4.7 respectively by using SIMD instructions instead of serial computations. Extending this to GPUs which are specifically built for SIMD/data parallel calculations shows why

<sup>5</sup><https://www.amd.com/en/products/processors/server/epyc/9005-series/amd-epyc-9965.html>

<sup>6</sup><https://www.nvidia.com/en-us/geforce/graphics-cards/50-series/rtx-5090/>





**Figure 2.1:** Overview of the architecture of a CPU (left) and a GPU (right). Note the higher number of simpler and smaller cores on the GPU (Nvidia, 2025b).

they are so powerful despite having less complex and slower cores than a CPU. It is also important to note, that a GPU also always needs a CPU, as the CPU is responsible for sending the data to the GPU and starting the GPU program. In GPGPU programming, the CPU is usually called the host, while the GPU is usually called the device.

### Thread Hierarchy and Tuning

The thousands of cores on a GPU, as well as the threads created by the developer, are grouped together in several categories. This is the so-called thread hierarchy of GPUs. The developer can influence this grouping to a degree which allows them to tune their algorithm for optimal performance. In order to develop a well performing algorithm, it is necessary to know how this grouping works. Tuning the grouping is unique to each algorithm and also dependent on the GPU used, which means it is important to test a lot of different configurations to achieve the best possible result. This section aims at exploring the thread hierarchy and how it can be tuned to fit an algorithm.

At the lowest level of a GPU exists a Streaming Multiprocessor (SM), which is a hardware unit responsible for scheduling and executing threads and also contains the registers used by these threads. An SM is always executing a group of 32 threads simultaneously, and this group is called a warp. The number of threads that can be started is virtually unlimited. However, threads must be grouped in a block, with one block typically containing a maximum of 1024 threads but is often configured to be less. Therefore, if more than 1024 threads are required, more blocks must be created. Blocks can also be grouped into thread block clusters which is optional, but can be useful in certain scenarios. All thread blocks or thread block clusters are part of a grid, which manifests as a dispatch of the code run on the GPU, also called kernel (AMD, 2025b). All threads in one block have access to some shared memory, which can be used for L1 caching or communication between threads. It is important that the blocks can be



**Figure 2.2:** An overview of the thread hierarchy with blocks being split into multiple warps and their shared memory (AMD, 2025b).

scheduled independently, with no dependencies between them. This allows the scheduler to schedule blocks and threads as efficiently as possible. All threads within a warp are guaranteed to be part of the same block, and are therefore executed simultaneously and can access the same memory addresses. Figure 2.2 depicts how threads in a block are grouped into warps for execution and how they shared memory.

A piece of code that is executed on a GPU is written as a kernel which can be configured. The most important configuration is how threads are grouped into blocks. The GPU allows the kernel to allocate threads and blocks and block clusters in up to three dimensions. This is often useful because of the already mentioned shared memory, which will be explained in more detail in Section 2.2.1. Considering the case where an image needs to be blurred, it not only simplifies the development if threads are arranged in a 2D grid, it also helps with optimising memory access. As the threads in a block, need to access a lot of the same data, this data can be loaded in the shared memory of the block. This allows the data to be accessed much quicker compared to when threads are allocated in only one dimension. With one dimensional blocks it is possible that threads assigned to nearby pixels, are part of a different block, leading to a lot of duplicate

data transfer. The size in each dimension of a block can be almost arbitrary within the maximum allowed number of threads. However, blocks that are too large might lead to other problems which are described in more detail in Section 2.2.1.

Once a kernel is dispatched, all threads start at the same point in a program. However, because a thread may encounter instructions, such as branches, where it can take a different path to the other threads, or in other words diverge, each thread has a unique instruction pointer. This allows threads to work independently, even if they are part of the same warp. However, because of the SIMD architecture, all threads in a warp must execute the same instructions and if threads start to diverge, the SM must pause threads with different instructions and execute them later. Figure 2.3 shows how such divergences can impact performance. The situation described in the figure also shows, that the thread could re-converge after the divergence. On older hardware this does not happen and results in T2 being executed after T1 and T3 have finished. In situations where there is a lot of data dependent thread divergence, most of the benefits of using a GPU are likely to be lost. Threads not executing the same instruction is strictly speaking against the SIMD principle, but can happen in reality, due to data dependent branching. Consequently, this leads to poor resource utilisation, which in turn leads to poor performance. Another way in which threads can be paused (inactive threads) is the fact that sometimes, the number of threads started is not divisible by 32. In such cases, the last warp still contains 32 threads but only the threads with work are executed.

Modern GPUs implement what is known as the Single-Instruction Multiple-Thread (SIMT) architecture. In many cases a developer does not need to know the details of SIMT and can design fast, correct and accurate programs with just the SIMD architecture in mind. However, leveraging the power of SIMT can yield substantial performance gains by re-converging threads after data-dependent divergence has occurred. SIMT can also help with increasing the occupancy of the GPU. Occupancy and its importance to performance is discussed in detail in Section 2.2.1.

A stack-less re-convergence algorithm was proposed by Collange (2011) as an alternative to the default stack-based re-convergence algorithm. Their algorithm was able to achieve higher performance than the default one. Another approach for increasing occupancy using the SIMT architecture is proposed by Fung and Aamodt (2011). They introduced a technique for compacting thread blocks by moving divergent threads to new warps until they re-converge. This approach resulted in a noticeable speed-up between 17% and 22%. Another example where a SIMT aware algorithm can perform better was proposed by Köster et al. (2020a). While they did not implement techniques for thread re-convergence, they implemented a thread compaction algorithm. On data-dependent divergence it is possible for threads to end early, leaving a warp with only partial active threads. This means the inactive threads are still occupied and cannot be used for other work. Their thread compaction tackles this problem by moving active threads into a new thread block, releasing the inactive threads to perform other work. With this they were able to gain a speed-up of roughly 4 times compared to previous implementations. Adapting Multiple-Instruction Multiple-Data (MIMD) programs with synchronisation to run on SIMT architecture can be a difficult task, especially if the underlying architecture is not well understood. A static analysis tool and a transformer specifically designed to help avoid deadlocks with MIMD synchronisation is proposed by ElTantawy and Aamodt (2016). In addition, they proposed a hardware re-convergence



**Figure 2.3:** Thread T2 wants to execute instruction B while T1 and T3 want to execute instruction A. Therefore T2 will be an inactive thread this cycle and active once T1 and T3 are finished. This means that now the divergent threads are serialised.

mechanism that supports MIMD synchronisation. A survey by Khairy et al. (2019) explores different aspects of improving GPGPU performance architecturally. Specifically, they have compiled a list of different publications discussing algorithms for thread re-convergence, thread compaction and much more. Their main goal was to give a broad overview of many ways to improve the performance of GPGPU programming to help other developers.

### Memory Model

On a GPU there are two parts that contribute to the performance of an algorithm. The one already looked at is the compute-portion of the GPU. This is necessary because if threads are serialised or run inefficiently, there is nothing that can make the algorithm execute faster. However, algorithms run on a GPU usually require huge amounts of data to be processed, as they are designed for exactly that purpose. The purpose of this section is to explain how the memory model of the GPU works and how it can influence the performance of an algorithm. In Figure 2.4 the memory layout and the kinds of memory available are depicted. The different parts will be explained in this section.

On a GPU there are multiple levels and kinds of memory available. All these levels and kinds have different purposes they are optimised for. This means that it is important to know what they are and how they can be best used for specific tasks. On the lowest



**Figure 2.4:** The layout of the memory in the GPU. The connections between the memory regions can be seen as well as the different kinds of memory available.

level, threads have registers and local memory available. Registers are the fastest way to access memory, but they are also the least abundant memory with up to a maximum of 255 32-Bit registers per thread on Nvidia GPUs and 256 on AMD GPUs (AMD, 2025a). However, using all registers of a thread can lead to other problems which are described in more detail in Section 2.2.1. In contrast to registers, local memory is significantly slower. This is due to the fact, that local memory is actually stored in global memory and therefore has the same limitations as explained later. This means that it is important to try and avoid local memory as much as possible. Local memory is usually only used when a thread is using too many registers. The compiler will then spill the remaining data into local memory and load it into registers once needed, slowing down the application drastically.

Shared memory is the next tier of memory on a GPU. Unlike local memory and registers, shared memory is shared between all threads inside a block. The amount of shared memory is depending on the GPU architecture but for Nvidia it hovers at around 100 Kilobyte (KB) per block. While this memory is slower than registers, its primary use-case is communicating and sharing data between threads in a block. If all threads in a block access a lot of overlapping data this data can be loaded from global memory into faster shared memory once. It can then be accessed multiple times, further increasing

performance. Loading data into shared memory and accessing that data has to be done manually. Because shared memory is part of the unified data cache, it can either be used as a cache or for manual use, meaning a developer can allocate more shared memory towards caching if needed. Another feature of shared memory are the so-called memory banks. Shared memory is always split into 32 equally sized memory modules also called memory banks. All available memory addresses lie in one of these banks. This means if two threads access two memory addresses which lie in different banks, the access can be performed simultaneously, increasing the throughput.

The most abundant and slowest memory is the global memory and resides in device memory. A key constraint of device memory and therefore global memory is, that can only be accessed in either 32, 64 or 128 byte chunks. This means if a thread wants to access 8 bytes from global memory, alongside the 8 bytes, the 24 bytes after the requested 8 bytes are also transferred. As a result, the throughput is only a fourth of the theoretical maximum. Therefore, it is important to follow optimal access patterns. What these optimal patterns are, are architecture dependent and are described in the according sections in the CUDA programming guide.

A small portion of device memory is allocated to constant memory. Constant memory is accessible by all threads and as the name implies, can not be written to by threads. It can be initialised by the CPU when starting a kernel if needed. As constant memory has a separate cache, it can be used to speed-up data access for constant and frequently accessed data.

Another special kind of memory is the texture and surface memory. According to AMD (2025b) texture memory is read-only memory, while surface memory can also be written to, which is the only difference between these two kinds of memory. Nvidia does not explicitly state this behaviour, but due to the fact that accessing textures is only performed via caches, it is implied that on Nvidia GPUs, texture memory is also read-only. As the name implies, this kind of memory is optimised for accessing textures. This means that threads of the same warp, accessing data which is spatially close together, will result in increased performance. As already mentioned, surface memory works the same way, with the difference, that it can be written to. It is therefore well suited for manipulating two- or three-dimensional data.

## Occupancy

Occupancy describes the utilisation of a GPU. A high occupancy means, that there are Warps executing, or in other words, the cores are occupied with work. This is important, as a low occupancy means that the GPU is waiting for work to be scheduled and is therefore idle. As a result, it is desired to achieve high occupancy in order to increase the performance of an algorithm. It needs to be noted, that occupancy is not the only option for improving performance. As it is possible for the GPU to have a high occupancy while performing a lot of unnecessary or redundant work or utilising compute-resources that are slower. An example for the latter would be developing an algorithm that uses 64-bit floating point (FP64) numbers while 32-bit floating point (FP32) numbers would have sufficient accuracy. Because GPUs tend to have fewer FP64 compute-resources than they have FP32 compute-resources, performing FP64 operations will take longer. However, despite these drawbacks, having low occupancy will very likely result in per-

Compute Capability	8.9	10.x
Max. number of threads per block	1 024	
Warp size	32 threads	
Max. number of warps per SM	48	64
Max. number of blocks per SM	24	32
Max. number of threads per SM	1 536	2 048
Number of 32-bit registers per SM	64 000	
Max. number of 32-bit registers per block	64 000	
Max. number of 32-bit registers per thread	255	
Max. amount of shared memory per SM	100 Kilobytes	228 Kilobytes
Max. amount of shared memory per block	99 Kilobytes	227 Kilobytes

**Table 2.1:** A simplified version of the technical specifications for the Compute Capabilities 8.9 and 10.x (Nvidia, 2025b). These correspond to the Nvidia Ada Lovelace and Blackwell microarchitectures.

formance degradation while high occupancy will either improve performance or do no harm otherwise. Ways of achieving high occupancy will be outlined in this section as most other performance problems can be solved algorithmically.

When starting a kernel, the most important configuration is the number of threads and thread blocks that need to be started. This is important, as this has other effects on occupancy as well. In Table 2.1 the most notable limitations are presented that can affect occupancy. These limitations need to be considered when choosing a kernel configuration. It is important to note, that depending on the GPU and problem, the occupancy tuning might differ, and the same approach might perform well on one GPU but perform poorly on another GPU. Therefore, the things discussed here are only guidelines.

Tools like Nvidia Nsight Compute<sup>7</sup> and Nsight Systems<sup>8</sup> are essential for performance tuning. Nsight compute also contains an occupancy calculator which takes a kernel and computes how the configuration performs in terms of occupancy and also lets the developer try out different configurations (Nvidia, 2025c).

In general, it is important to have as many warps as possible ready for execution. While this means that a lot of warps could be executed but are not, this is actually desired. A key feature of GPUs is so-called latency hiding, meaning that while a warp waits for data to be retrieved for example, another warp ready for execution can now be run. With low occupancy, and therefore little to no warps waiting for execution, latency hiding does not work, as now the hardware is idle. As a result, the runtime increases which also explains why high occupancy is not guaranteed to result in performance improvements while low occupancy can and often will increase the runtime.

As seen in Table 2.1, there exist different limitations that can impact occupancy. The number of warps per SM is important, as this means this is the degree of parallelism achievable per SM. If due to other limitations, the number of warps per SM is below the maximum, there is idle hardware. One such limitation is the number of registers per

<sup>7</sup><https://developer.nvidia.com/nsight-compute>

<sup>8</sup><https://developer.nvidia.com/nsight-systems>

block and SM. In the case of compute capability 8.9, one SM can handle  $32 * 48 = 1\,536$  threads. This leaves  $64\,000 / 1\,536 \approx 41$  registers per thread, which is lower than the theoretical maximum of 255 registers per thread. Typically, one register is mapped to one variable in the kernel code, meaning a developer can use up to 41 variables in their code. However, if the variable needs 64 bits to store its value, the register usage doubles, as all registers on a GPU are 32-bit. On a GPU with compute capability 10.x a developer can use up to  $64\,000 / 2\,048 \approx 31$  registers. Of course a developer can use more registers, but this results in less occupancy. However, depending on the algorithm using more registers might be more beneficial to performance than the lower occupancy, in which case occupancy is not as important. If a developer needs more than 255 registers for their variables the additional variables will spill into local memory which is, as described in Section 2.2.1, not desirable.

Additionally, shared memory consumption can also impact the occupancy. If for example a block needs all the available shared memory, which is almost the same as the amount of shared memory per SM, this SM can only serve this block. On compute capability 10.x, this would mean that occupancy would be at maximum 50% as a block can have up to 1 024 threads while an SM supports up to 2 048 threads. Again, in such cases it needs to be determined, if the performance gain of using this much shared memory is worth the lower occupancy.

Balancing these limitations and therefore the occupancy and performance often requires a lot of trial and error with help of the aforementioned tools. In cases where occupancy is already high and the amount of warps ready for execution is also high, other areas for performance improvements need to be explored. Algorithmic optimisation is always a good idea. Some performance improvements can be achieved by altering the computations to use different parts of the GPU. One of such optimisations is using FP32 operations wherever possible. Another well suited optimisation is to rewrite the algorithm to use as many Fused Multiply-Add (FMA) instructions. FMA is a special floating point instruction, that multiplies two values and adds a third, all in a single clock cycle (Nvidia, 2025a). However, the result might slightly deviate compared to performing these two operations separately, which means in accuracy sensitive scenarios, this instruction should be avoided. If the compiler detects a floating point operation with the FMA structure, it will automatically be compiled to an FMA instruction. To prevent this, in C++ the developer can call the functions `__fadd__` and `__fmul__` for addition and multiplication respectively.

### 2.2.2 Parallel Thread Execution

While in most cases a GPU can be programmed in a higher level language like C++ or even Julia<sup>9</sup>, it is also possible to program GPUs with the low level language Parallel Thread Execution (PTX) developed by Nvidia. A brief overview of what PTX is and how it can be used to program GPUs is given in this section. Information in this section is taken from the PTX documentation (Nvidia, 2025d) if not stated otherwise.

PTX defines a virtual machine with an own instruction set architecture (ISA) and is designed for data-parallel processing on a GPU. It is an abstraction of the underlying hardware instruction set, allowing PTX code to be portable across Nvidia GPUs. In

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<sup>9</sup><https://juliagpu.org/>



```

1 .func loop(.param .u32 N)
2 {
3   .reg .u32 %n;
4   .reg .pred %p;
5
6   ld.param.u32 %n, [N];
7 Loop:
8   setp.eq.u32 %p, %n, 0;
9   @%p bra     Done;
10  sub.u32     %n, %n, 1;
11  bra        Loop;
12 Done:
13 }

```

**Program 2.1:** A PTX program fragment depicting how loops can be implemented.

order for PTX code to be usable for the GPU, the driver is responsible for compiling the code to the hardware instruction set of the GPU it is run on. A developer typically writes a kernel in CUDA using C++, for example, and the Nvidia compiler generates the PTX code for that kernel. This PTX code is then compiled by the driver once it is executed. The concepts for programming the GPU with PTX and CUDA are the same, apart from the terminology which is slightly different. For consistency, the CUDA terminology will continue to be used.

Syntactically, PTX is similar to assembler style code. Every PTX code must have a `.version` directive which indicates the PTX version and an optional `.target` directive which indicates the compute capability. If the program works in 64 bit addresses, the optional `.address_size` directive can be used to indicate that, which simplifies the code for such applications. After these directives, the actual code is written. As each PTX code needs an entry point (the kernel) the `.entry` directive indicates the name of the kernel and the parameters needed. It is also possible to write helper functions with the `.func` directive. Inside the kernel or a helper function, normal PTX code can be written. Because PTX is very low level, it assumes an underlying register machine, therefore a developer needs to think about register management. This includes loading data from global or shared memory into registers if needed. Code for manipulating data like addition and subtraction generally follow the structure `operation.datatype` followed by up to four parameters for that operation. For adding two FP32 values together and storing them in the register `%n`, the code looks like the following:

```
add.f32    %n, 0.1, 0.2;
```

Loops in the classical sense do not exist in PTX. Instead, a developer needs to define jump targets for the beginning and end of the loop. The Program in 2.1 shows how a function with simple loop can be implemented. The loop counts down to zero from the passed parameter `N` which is loaded into the register `%n` in line 6. If the value in the register `%n` reached zero the loop branches at line 9 to the jump target at line 12 and the loop has finished. All other used directives and further information on writing PTX code can be taken from the PTX documentation (Nvidia, 2025d).

## 2.3 Compilers

Compilers are a necessary tool for many developers. If a developer wants to run their program it is very likely they need one. As best described by Aho et al. (2006) in their dragon book, a compiler takes code written by a human in some source language and translates it into a destination language readable by a computer. This section briefly explores what compilers are and research done in this old field of computer science. Furthermore, the topics of transpilers and interpreters are explored, as their use-cases are very similar.

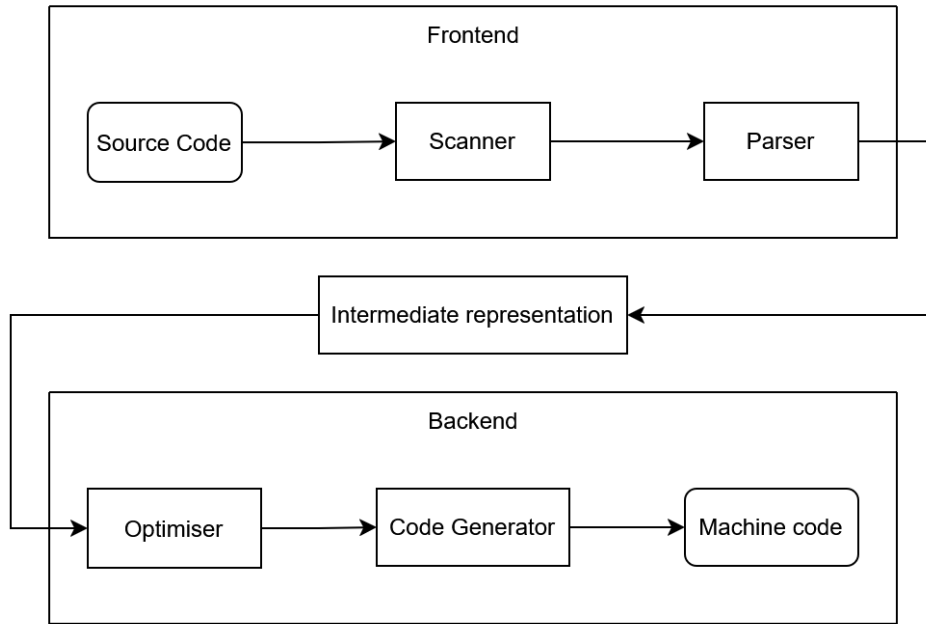
Aho et al. (2006) and Cooper and Torczon (2022) describe how a compiler can be developed, with the latter focusing on more modern approaches. They describe how a compiler consists of two parts, the analyser, also called frontend, and the synthesiser also called backend. The frontend is responsible for ensuring syntactic and semantic correctness and converts the source code into an intermediate representation, an abstract syntax tree (AST), for the backend. Generating code in the target language, from the intermediate representation is the job of the backend. This target code can be assembly or anything else that is needed for a specific use-case. This intermediate representation also makes it simple to swap out frontends or backends. The Gnu Compiler Collection GCC (2025) takes advantage of using different frontends to provide support for many languages including C, C++, Ada and more. Instead of compiling source code for specific machines directly, many languages compile code for virtual machines instead. Notable examples are the Java Virtual Machine (JVM) (Lindholm et al., 2025) and the low level virtual machine (LLVM) (Lattner & Adev, 2004). Such virtual machines provide a bytecode which can be used as a target language for compilers. A huge benefit of such virtual machines is the ability for one program to be run on all physical machines the virtual machine exists for, without the developer needing to change that program (Lindholm et al., 2025). Programs written for virtual machines are compiled into their respective bytecode. This bytecode can then be interpreted or compiled to physical machine code and then be run. According to the JVM specification Lindholm et al. (2025) the Java bytecode is interpreted and also compiled with a just-in-time (JIT) compiler to increase the performance of code blocks that are often executed. On the other hand, the common language runtime (CLR)<sup>10</sup>, the virtual machine for languages like C#, never interprets the generated bytecode. As described by Microsoft (2023) the CLR always compiles the bytecode to physical machine code using a JIT compiler before it is executed.

### 2.3.1 Interpreters

Interpreters are a different kind of program for executing source code. Rather than compiling the code and executing the result, an interpreter executes the source code directly. Languages like Python and JavaScript are prominent examples of interpreted languages, but also Java, or more precise Java-Bytecode, is also interpreted before it gets compiled (Lindholm et al., 2025). However, interpreters can not only be used for interpreting programming languages. It is also possible for them to be used in GP. Langdon and Banzhaf (2008) have shown how a SIMD interpreter can be efficiently used for evaluating entire

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<sup>10</sup><https://learn.microsoft.com/en-us/dotnet/standard/clr>



**Figure 2.5:** A simplified overview of how the architecture of a compiler looks, using Flex and Bison.

GP populations on the GPU directly. In a later work Cano and Ventura (2014) further improved this interpreter. They used the fact that a GP individual represents a tree which can be split into independent subtrees. These can be evaluated concurrently and with the help of communication via shared memory, they were able to evaluate the entire tree. With this they achieved a significant performance improvement over previous implementations. As shown by Dietz and Young (2010), it is even possible to develop an interpreter that can execute MIMD programs on a SIMD GPU. However, as noted by the authors, any kind of interpretation comes with an overhead. This means that with the additional challenges of executing MIMD programs on SIMD hardware, their interpreter, while achieving reasonable efficiency, still suffers from performance problems. Another field where interpreters can be useful are rule-based simulations. Köster et al. (2020a) has shown how they implemented a GPU interpreter for such simulations. In addition with other novel performance improvements in running programs on a GPU, they were able to gain a speed-up of 4 over non-interpreted implementations. While publications like Fua and Lis (2020) and Gherardi et al. (2012) have shown, interpreted languages often trail behind in terms of performance compared to compiled languages, interpreters per se are not slow. And while they come with performance overhead as demonstrated by Dietz and Young (2010) and Romer et al. (1996), they can still be a very fast, easy and powerful alternative for certain tasks.

### 2.3.2 Transpilers

With the concepts already mentioned, it is possible to generate executable code from code written in a programming language. However, sometimes it is desired to convert a program from one programming language to another and therefore the major difference between these use-cases is the backend. A popular transpiler example is the TypeScript transpiler, which transforms TypeScript source code into JavaScript source code (Microsoft, 2025). Other examples for transpilers are the C2Rust transpiler (Ling et al., 2022) that transpiles C code into Rust code as well as the PyJL transpiler (Marcelino & Leitão, 2022) which transpiles Python code into Julia code. Chaber and Ławryńczuk (2016) proposed a transpiler that takes MATLAB and C code and transforms it into pure and optimised C code for an STM32 microcontroller. An early example for a transpiler has been developed by Intel (1978) where they built a transpiler for transforming assembly code for their 8080 CPU to assembly code for their 8086 CPU. Transpilers can also be used in parallelisation environments, like OpenMP (C.-K. Wang & Chen, 2015). There also exists a transpiler that transforms CUDA code into highly parallel CPU code. Moses et al. (2023) described this transpiler, and they found that the generated code performs noticeably better than doing this transformation by hand. When designing complex processors and accelerators, Register-transfer level (RTL) simulations are essential (L.-T. Wang et al., 2009). In a later study Zhang et al. (2020) have shown how RTL simulations can be performed on GPUs with a speed-up of 20. This led to D.-L. Lin et al. (2023) developing a transpiler to transform RTL into CUDA kernels instead of handwriting them. They compared their results with a CPU implementation running on 80 CPUs, where they found that the transpiled CUDA version was 40 times faster. Using transpilers for software backend and business logic has been proposed by Bastidas Fuertes et al. (2023a). Their approach implemented a programming language that can be transpiled into different programming languages, for usage in a multi-programming-language environment that share some business logic. In another study, Bastidas Fuertes et al. (2023b) reviewed over 600 publications to map the use of transpilers alongside their implementations in different fields of research, demonstrating the versatility of transpiler use.

RE-READ to ensure that concepts why this is done to improve performance and why this should be the “locally best” implementation (most should be in implementation though)

## Chapter 3

# Concept and Design

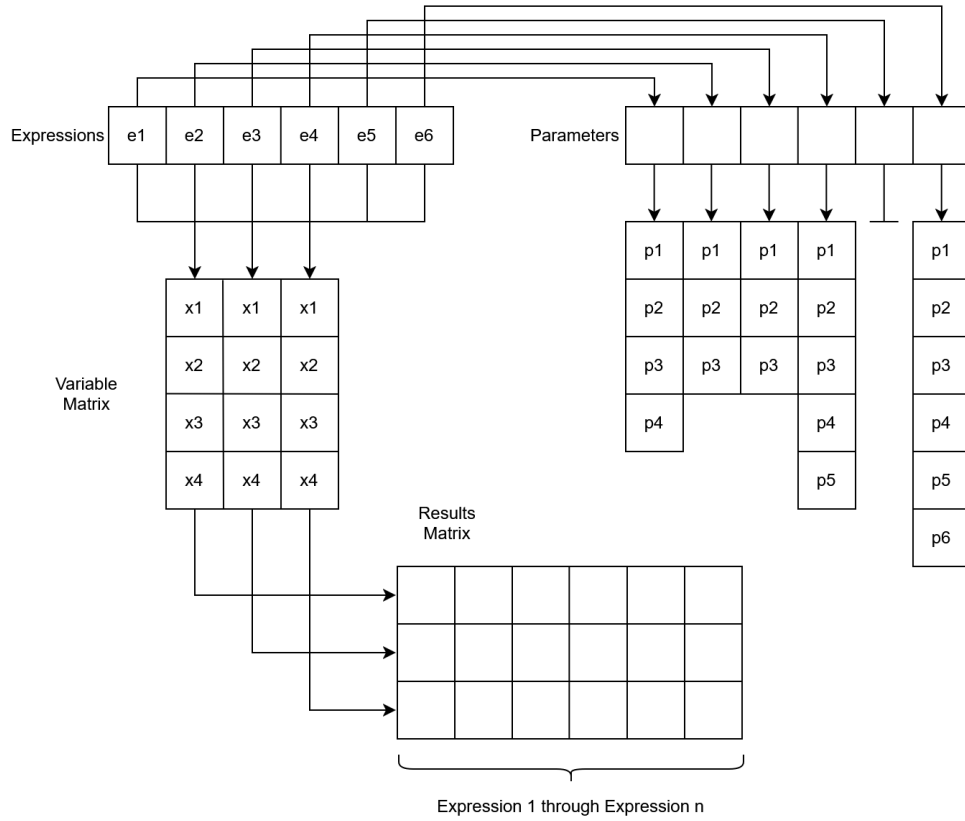
To be able to determine whether evaluating mathematical expressions on the GPU is better suited than on the CPU, a prototype needs to be implemented. More specifically, a prototype for interpreting these expressions on the GPU, as well as a prototype that transpiles expressions into code that can be executed by the GPU. The goal of this chapter, is to describe how these two prototypes can be implemented conceptually. First the requirements for the prototypes as well as the data they operate on are explained. This is followed by the design of the interpreter and the transpiler. The CPU interpreter will not be described, as it already exists.

### 3.1 Requirements and Data

The main goal of both prototypes or evaluators is to provide a speed-up compared to the CPU interpreter already in use. However, it is also important to determine which evaluator provides the most speed-up. This also means that if one of the evaluators is faster, it is intended to replace the CPU interpreter. Therefore, they must have similar capabilities, and therefore meet the following requirements:

- Multiple expressions as input.
- All input expressions have the same number of variables ( $x_n$ ), but can have a different number of parameters ( $p_n$ ).
- The variables are parametrised using a matrix of the form  $k \times N$ , where  $k$  is the number of variables in the expressions and  $N$  is the number of different parametrizations for the variables. This matrix is the same for all expressions.
- The parameters are parametrised using a vector of vectors. Each vector  $v_i$  corresponds to an expression  $e_i$ .
- The following operations must be supported:  $x + y$ ,  $x - y$ ,  $x * y$ ,  $x / y$ ,  $x^y$ ,  $|x|$ ,  $\log(x)$ ,  $e^x$  and  $\sqrt{x}$ . Note that  $x$  and  $y$  can either stand for a value, a variable, or another operation.
- The results of the evaluations are returned in a matrix of the form  $k \times N$ . In this case,  $k$  is equal to the  $N$  of the variable matrix and  $N$  is equal to the number of input expressions.

With this, the required capabilities are outlined. However, for a better understand-



**Figure 3.1:** This diagram shows how the input and output looks like and how they interact with each other.

ing, the input and output data need to be explained further. The first input contains the expressions that need to be evaluated. These can be of any length and can contain constant values, variables and parameters, all of which are linked together with the supported operations. In the simplified example shown in Figure 3.1, there are six expressions  $e_1$  to  $e_6$ .

Next is the variable matrix. An entry in this matrix corresponds to one variable in every expression. The row indicates which variable it holds the value for. For example the values in row three, are used to parameterise the variable  $x_3$ . Each column holds a different set of variables. Each expression must be evaluated using each set of variable. In the provided example, there are three variable sets, each containing the values for four variables  $x_1$  to  $x_4$ . After all expressions have been evaluated using all variable sets, the results of these evaluations must be stored in the result matrix. Each entry in this matrix holds the result of the evaluation of one expression parameterised with one variable set. The row indicates the variable set and the column indicates the expression.

The prototypes developed in this thesis, are part of a GP algorithm for symbolic regression. This means that the expressions that are evaluated, represent parts of the search space of all expressions being made up of any combination of allowed operators,

the set of input variables, a set of parameters and constants. This means that the size of the search space grows exponentially. Exploring this search space by simply generating expressions, evaluating them once and then generating the next set of expressions leaves much of the search space unexplored. To combat this, parameters are introduced. These allow the algorithm to perform some kind of local search. To enable this, the prototypes must support not only variables, but also parameters.

The parameters themselves are unique to each expression, meaning they have a one-to-one mapping to an expression. Furthermore, as can be seen in Figure 3.1, each expression can have a different number of parameters, or even no parameters at all. However, with no parameters, it wouldn't be possible to perform parameter optimisation. This is in contrast to variables, where each expression must have the same number of variables. Because parameters are unique to each expression and can vary in size, they are not structured as a matrix, but as a vector of vectors.

An important thing to consider, is the volume and volatility of the data itself. The example used above has been drastically simplified. It is expected, that there are hundreds of expressions evaluate per GP generation. Each of these expressions may contain between ten and 50 tokens. A token is equivalent to either a variable, a parameter, a constant value or an operator.

Usually, the number of variables per expression is around ten. However, the number of variable sets can increase drastically. It can be considered, that 1 000 variable sets is the lower limit. On the other hand, 100 000 can be considered as the upper limit. Considering that one variable takes up 4 bytes of space and 10 variables are needed per expression, at least  $4 * 10 * 1\,000 = 40\,000$  bytes and at most  $4 * 10 * 100\,000 = 400\,000$  bytes need to be transferred to the GPU for the variables.

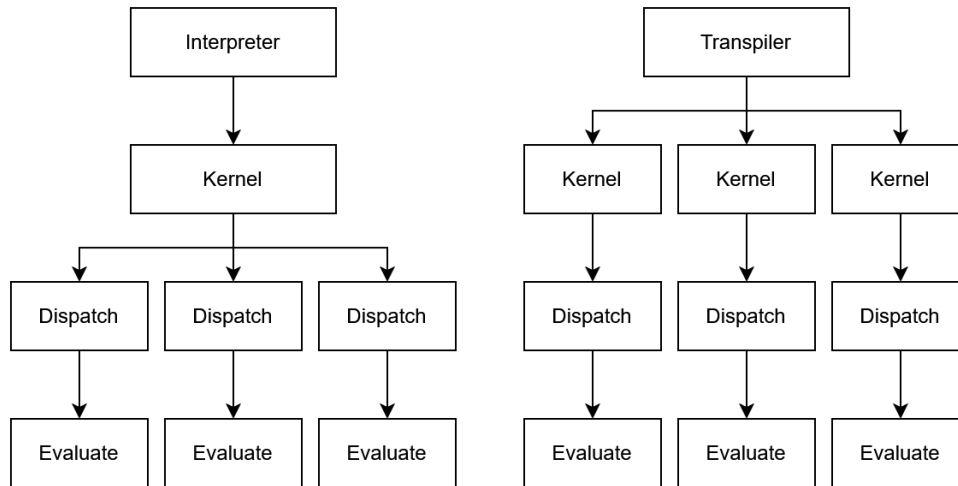
These variables do not change during the runtime of the symbolic regression algorithm, so the data only needs to be sent to the GPU once. This means that the impact of this data transfer is minimal. On the other hand, the data for the parameters is much more volatile. As explained above, they are used for parameter optimisation and therefore vary from evaluation to evaluation and need to be sent to the GPU very frequently.

## 3.2 Architecture

Based on the requirements above, the architecture of both prototypes can be designed. While the requirements only specify the input and output, the components and workflow also need to be specified. This section aims at giving an architectural overview of both prototypes, alongside their design decisions.

A design decision that has been made for both prototypes is to split the evaluation of each expression into a separate kernel or kernel dispatch as seen in Figure 3.2. As explained in Section 2.2.1, it is desirable to reduce the occurrence of thread divergence as much as possible. Although the SIMT programming model tries to mitigate the negative effects of thread divergence, it is still a good idea to avoid it when possible. For this use-case, thread divergence can easily be avoided by not evaluating all expressions in a single kernel or kernel dispatch. GPUs are able to have multiple resident grids, with modern GPUs being able to accommodate 128 grids concurrently (Nvidia, 2025b). One grid corresponds to one kernel dispatch, and therefore allows up-to 128 kernels to be





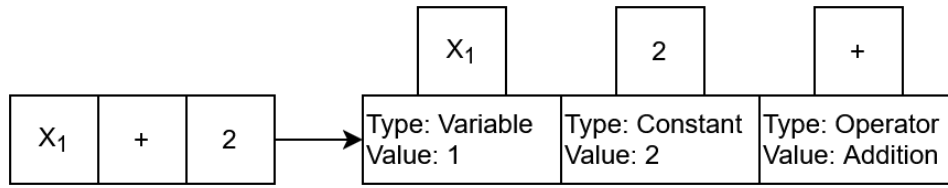
**Figure 3.2:** The interpreter has one kernel that is dispatched multiple times, while the transpiler, has multiple kernels that are dispatched once. This helps to eliminate thread divergence.

run concurrently. Therefore, dispatching a kernel for each expression, has the possibility to improve the performance. In the case of the interpreter, having only one kernel that can be dispatched for each expression, also simplifies the kernel itself. This is because the kernel can focus on evaluating one expression and does not require additional code to handle multiple expressions at once. Similarly, the transpiler can also be simplified, as it can generate many smaller kernels than one big kernel. Additionally, the smaller kernels do not need any branching, because the generated code only needs to perform the operations as they occur in the expression itself.

### 3.2.1 Pre-Processing

The first step in both prototypes is the pre-processing step. It is needed, as it simplifies working with the expressions in the later steps. One of the responsibilities of the pre-processor is to verify that only allowed operators and symbols are present in the given expressions. This is comparable to the work a scanner like Flex<sup>1</sup> performs. Additionally, this step also converts the expression into an intermediate representation. In essence, the pre-processing step can be compared to the front-end of a compiler as described in Section 2.3. The conversion into the intermediate representation transforms the expressions from infix-notation into postfix-notation. This further allows the later parts to more easily evaluate the expressions. One of the major benefits of this notation is the implicit operator precedence. It allows the evaluators to evaluate the expressions token by token from left to right, without needing to worry about the correct order of operations. One token represents either an operator, a constant value, a variable or a parameter. Apart from the intermediate representation containing the expression in postfix-notation, it also contains the information about the types of the tokens them-

<sup>1</sup><https://github.com/westes/flex>



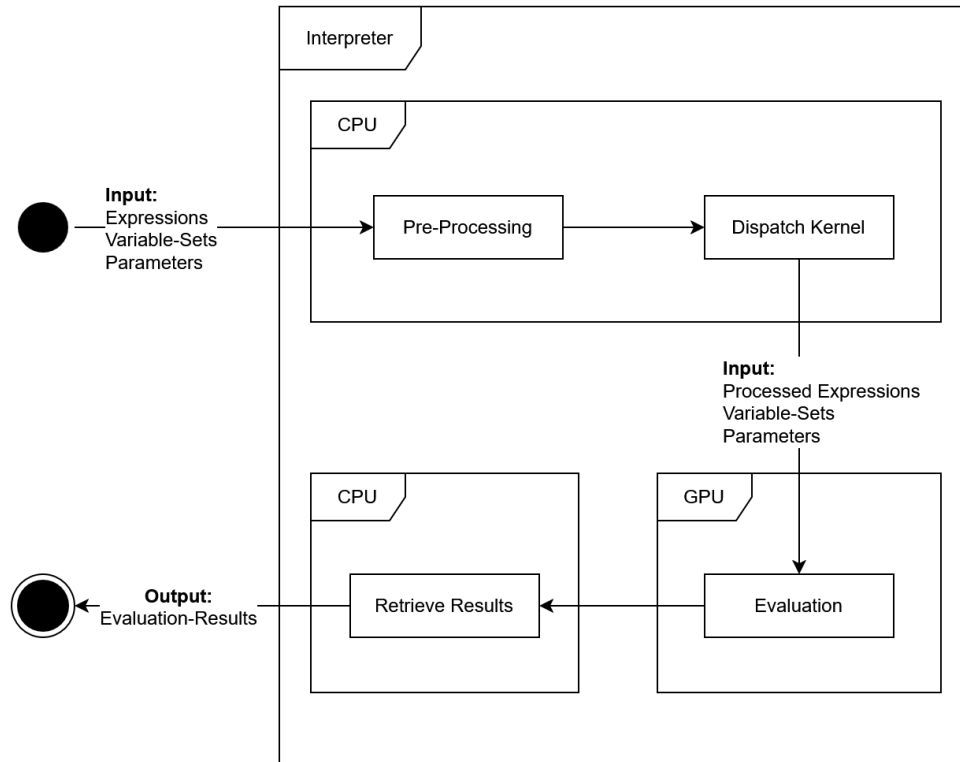
**Figure 3.3:** This diagram shows how an expression will be transformed in the pre-processing step.

selves. This is all that is needed for the interpretation and transpilation steps. A simple expression like  $x + 2$  would look like depicted in figure 3.3 after the pre-processing step.

It would have also been possible to perform the pre-processing step on the GPU. However, pre-processing only one expression can not easily be split into multiple threads, which means one GPU thread would need to process one expression. As described in Section 2.2 a single GPU thread is slower than a single CPU thread and as a result means the processing will also be slower. Furthermore, it wouldn't make sense to process all expressions in a single kernel. This would lead to a lot of thread divergence, which essentially means processing one expression after the other. The SIMT programming model might help with parallelising at least some parts of the processing work. However, the generated expressions can differ a lot from each other and restricting them to be similar and therefore SIMT friendly, would likely reduce the overall quality of the symbolic regression algorithm. Therefore, it does not make sense to perform the processing step on the GPU. This is a typical example of code that is better run on the CPU, also because the parallelisation possibilities of one thread per expression can be applied to the CPU as well. Concepts like caching processed expressions, or caching parts of the processed expressions can also be employed on the CPU. This would not be possible on the GPU, because a GPU can not save state between two kernel dispatches.

### 3.2.2 Interpreter

The interpreter consists of two parts. The CPU side is the part of the program, that interacts with both the GPU and the caller. An overview on the components and the workflow of the interpreter can be seen in Figure 3.4. Once the interpreter receives the expressions, they are pre-processed. This ensures the expressions are valid, and that they are transformed into the intermediate representation needed for evaluating them. The results of this pre-processing are then sent to the GPU, which performs the actual interpretation of the expressions. Alongside the expressions, the data for the variables and parameters also needs to be sent to the GPU. Once all the data resides on the GPU, the interpreter kernel can be dispatched. It needs to be noted, that for each of the expressions, a separate kernel will be dispatched. As already described, this decision has been made to reduce thread divergence and therefore increase performance. In fact, dispatching the same kernel multiple times with different expressions, means, there will not occur any thread divergence as explained later. Once the GPU has finished evaluating all expressions with all variable sets, the result will be stored in a matrix



**Figure 3.4:** This diagram depicts the coarse-grained workflow of the interpreter. It shows how the parts interact with each other and with the system it will operate in.

on the GPU. The CPU then retrieves the results and returns them to the caller in the format specified by the requirements.

Evaluating the expressions is relatively straight forward. Due to the expressions being in postfix-notation, the actual interpreter must only iterate over all tokens once and perform the appropriate tasks. If the interpreter encounters a binary operator, it must simply read the previous two values and perform the operation specified by the operator. For unary operators, only the previous value must be read. As already mentioned, expressions in postfix-notation implicitly contain the operator precedence, therefore no look-ahead or other strategies need to be used to ensure correct evaluation. The Algorithm 3.1 shows how the interpreter works. Note that this is a simplified version, that only works with additions, multiplications, constant values and variables.

If a new operator is needed, it must simply be added as another else-if block inside the operator branch. New token types like variables or parameters, can also be added by adding a new outer else-if block that checks for these token types. However, the pre-processing step also needs to be extended with these new operators and token types. Otherwise, the expression will never reach the evaluation step as they would be seen as invalid. It is also possible to add unary operators like  $\log()$ . In this case only one value would be read from the stack, the operation would be applied, and the result would be written back to the stack.

**Algorithm 3.1:** Interpreting an equation in postfix-notation

---

```

1: procedure Evaluate(expr: PostfixExpression)
2:   stack  $\leftarrow$  []
3:   while HasTokenLeft(expr) do
4:     token  $\leftarrow$  GetNextToken(expr)
5:     if token.Type = Constant then
6:       Push(stack, token.Value)
7:     else if token.Type = Variable then
8:       Push(stack, GetVariable(token.Value))
9:     else if token.Type = Operator then
10:      if token.Value = Addition then
11:        right  $\leftarrow$  Pop(stack)
12:        left  $\leftarrow$  Pop(stack)
13:        Push(stack, left + right)
14:      else if token.Value = Multiplication then
15:        right  $\leftarrow$  Pop(stack)
16:        left  $\leftarrow$  Pop(stack)
17:        Push(stack, left * right)
    return Pop(stack)

```

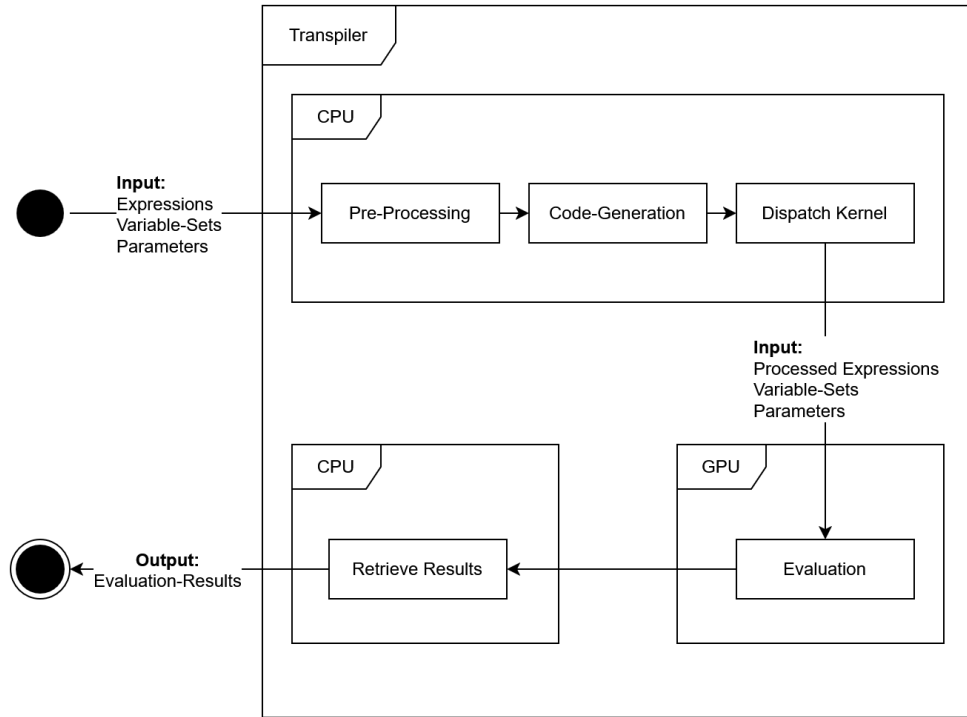
---

The Algorithm 3.1 in this case resembles the kernel. This kernel will be dispatched for every expression that needs to be evaluated, to eliminate thread divergence. Thread divergence can only happen on data dependent branches. In this case, the while loop and every if and else-if statement contains a data dependent branch. Depending on the expression passed to the kernel, the while loop may run longer than for another expression. Similarly, not all expressions have the same constants, operators and variables in the same order and would therefore lead to each thread, taking different paths. However, one expression, always has the same constants, operators and variables in the same locations, meaning all threads will take the same paths. This also means that despite the interpreter containing many data dependent branches, these branches only depend on the expression itself. Because of this, all threads will take the same paths and therefore will never diverge from one another if they execute the same expression.

### 3.2.3 Transpiler

Similar to the interpreter, the transpiler also consists of a part that runs on the CPU and a part that runs on the GPU. When looking at the components and workflow of the transpiler, as shown in Figure 3.5, it is almost identical to the interpreter. However, the key difference between the two, is the additional code generation, or transpilation step. Apart from that, the transpiler also needs the same pre-processing step and also the GPU to evaluate the expressions. However, the GPU evaluator generated by the transpiler works differently to the GPU evaluator for the interpreter. The difference between these evaluators will be explained later.

Before the expressions can be transpiled into PTX code, they need to be pre-processed. As already described, this step ensures the validity of the expressions and



**Figure 3.5:** This diagram depicts the coarse-grained workflow of the transpiler. It shows how the parts interact with each other and with the system it will operate in.

transforms them into the intermediate representation described above. As with the interpreter, this also simplifies the code generation step at the cost of some performance because the validity has to be ensured, and the intermediate representation needs to be generated. However, in this case the benefit of having a simple code generation step was more important than performance. By transforming the expressions into postfix-notation, the code generation follows a similar pattern to the interpretation already described. Algorithm 3.2 shows how the transpiler takes an expression, transpiles it and then returns the finished code. It can be seen that the while loop is the same as the while loop of the interpreter. The main difference is in the operator branches. Because now code needs to be generated, the branches themselves call their designated code generation function, such as *GetAddition*. However, this function can not only return the code that performs the addition for example. When executed, this addition also returns a value which will be needed as an input by other operators. Therefore, not only the code fragment must be returned, but also the reference to the result. This reference can then be put on the stack for later use the same as the interpreter stores the value for later use. The code fragment must also be added to the already generated code so that it can be returned to the caller. As with the interpreter, there is a final value on the stack when the loop has finished. Once the code is executed, this value is the reference to the result of the expression. This value then needs to be stored in the results matrix, so that it can be retrieved by the CPU after all expressions have been executed on the GPU. Therefore, one last code fragment must be generated to handle the storage of

this value in the results matrix. This fragment must then be added to the code already generated, and the transpilation process is completed.

---

**Algorithm 3.2:** Transpiling an equation in postfix-notation

---

```

1: procedure Transpile(expr: PostfixExpression): String
2:   stack  $\leftarrow$  []
3:   code  $\leftarrow$  ""
4:   while HasTokenLeft(expr) do
5:     token  $\leftarrow$  GetNextToken(expr)
6:     if token.Type = Constant then
7:       Push(stack, token.Value)
8:     else if token.Type = Variable then
9:       (codeFragment, referenceToValue)  $\leftarrow$  GetVariable(token.Value)
10:      Push(stack, referenceToValue)
11:      Append(code, codeFragment)
12:     else if token.Type = Operator then
13:       if token.Value = Addition then
14:         right  $\leftarrow$  Pop(stack)
15:         left  $\leftarrow$  Pop(stack)
16:         (referenceToValue, codeFragment)  $\leftarrow$  GetAddition(left, right)
17:         Push(stack, referenceToValue)
18:         Append(code, codeFragment)
19:       else if token.Value = Multiplication then
20:         right  $\leftarrow$  Pop(stack)
21:         left  $\leftarrow$  Pop(stack)
22:         (referenceToValue, codeFragment)  $\leftarrow$  GetMultiplication(left, right)
23:         Push(stack, referenceToValue)
24:         Append(code, codeFragment)
25:   codeFragment  $\leftarrow$  GenerateResultStoring(Pop(stack))
26:   Append(code, codeFragment)
   return code

```

---

The code generated by the transpiler is the kernel for the transpiled expressions. This means that a new kernel must be generated for each expression that needs to be evaluated. This is in contrast to the interpreter, which has one kernel and dispatches it once for each expression. However, generating one kernel per expression results in a much simpler kernel. This allows the kernel to focus on evaluating the postfix expression from left to right. No overhead work, like branching or managing a stack is needed. However, this overhead is now offloaded to the transpilation step on the CPU as can be seen in Algorithm 3.2. There is also a noticeable overhead in that a kernel has to be generated for each expression. In cases like parameter optimisation, many of the expressions will be transpiled multiple times as the transpiler is called multiple times with the same expressions.

Both the transpiler and the interpreter have their respective advantages and disadvantages. While the interpreter puts less load on the CPU, the GPU has to perform more work. Much of this work is branching or managing a stack and therefore involves

many instructions that are not used to evaluate the expression itself. However, this overhead can be mitigated by the fact, that all of this overhead is performed in parallel and not sequentially.

On the other hand, the transpiler performs more work on the CPU. The kernels are much simpler, and most of the instructions are used to evaluate the expressions themselves. Furthermore, as explained in Section 2.2.2, any program running on the GPU, must be transpiled into PTX code before the driver can compile it into machine code. Therefore, the kernel written for the interpreter, must also be transpiled into PTX. This overhead is in addition to the branch instruction overhead. The self-written transpiler removes this intermediate step by transpiling directly to PTX. In addition, the generated code is tailored to evaluate expressions and does not need to generate generic PTX code, which can reduce transpilation time.

Unlike the GPU, the CPU can manage state across multiple calls. Concepts such as caches can be employed by the transpiler to reduce the overhead on the CPU. In cases such as parameter optimisation, where expressions remain the same over multiple calls, the resulting PTX code can be cached. As a result the same expression doesn't need to be transpiled multiple times, drastically reducing the transpilation time and therefore improving the overall performance of the transpiler.

## Chapter 4

# Implementation

somewhere in here explain why one kernel per expression and not one kernel for all expressions

Go into the details why this implementation is tuned towards performance and should be the optimum at that

### 4.1 Technologies

Short section; CUDA, PTX, Julia, CUDA.jl

Probably reference the performance evaluation papers for Julia and CUDA.jl

### 4.2 Expression Processing

Talk about why this needs to be done and how it is done (the why is basically: simplifies evaluation/transpilation process; the how is in ExpressionProcessing.jl)

### 4.3 Interpreter

Talk about how the interpreter has been developed.

UML-Ablaufdiagram

main loop; kernel transpiled by CUDA.jl into PTX and then executed

Memory access (currently global memory only) no dynamic memory allocation like on CPU (stack needs to have fixed size)

### 4.4 Transpiler

Talk about how the transpiler has been developed (probably largest section, because it just has more interesting parts)

UML-Ablaufdiagram

Front-End and Back-End Caching of back-end results

PTX code generated and compiled using CUDA.jl (so basically the driver) and then executed



Memory access (global memory and register management especially register management)

## Chapter 5

# Evaluation

The aim of this thesis is to determine whether at least one of the GPU evaluators is faster than the current CPU evaluator. This chapter describes the performance evaluation. First, the environment in which the performance tests are performed is explained. Then the individual results for the GPU interpreter and the transpiler are presented. In addition, this part also includes the performance tuning steps taken to achieve these results. Finally, the results of the GPU evaluators are compared to the CPU evaluator in order to answer the research questions of this thesis.

### 5.1 Test environment

Explain the hardware used, as well as the actual data (how many expressions, variables etc.)

three scenarios -> few, normal and many variable sets;; expr repetitions to simulate parameter optimisation Benchmarktools.jl -> 1000 samples per scenario

### 5.2 Results

talk about what we will see now (results only for interpreter, then transpiler and then compared with each other and a CPU interpreter)

#### 5.2.1 Interpreter

Results only for Interpreter (also contains final kernel configuration and probably quick overview/recap of the implementation used and described in Implementation section)

#### 5.2.2 Performance tuning

Document the process of performance tuning

Initial: CPU-Side single-threaded; up to 1024 threads per block; bounds-checking enabled (especially in kernel)

1.) Blocksize reduced to a maximum of 256 -> moderate improvement in medium and large 2.) Using @inbounds -> noticeable improvement in 2 out of 3 3.) Tuned

blocksize with NSight compute -> slight improvement 4.) used int32 everywhere to reduce register usage -> significant performance drop (probably because a lot more waiting time “latency hiding not working basically”, or more type conversions happening on GPU? look at generated PTX code and use that as an argument to describe why it is slower) 5.) reverted previous; used fastmath instead -> improvement (large var set is now faster than on transpiler)

### 5.2.3 Transpiler

Results only for Transpiler (also contains final kernel configuration and probably quick overview/recap of the implementation used and described in Implementation section)

### 5.2.4 Performance tuning

Document the process of performance tuning

Initial: CPU-Side single-threaded; up to 1024 threads per block; bounds-checking enabled

1.) Blocksize reduced to a maximum of 256 -> moderate improvement in medium and large 2.) Using @inbounds -> small improvement only on CPU side code 3.) Tuned blocksize with NSight compute -> slight improvement 4.) Only changed things on interpreter side 5.) Only changed things on interpreter side

### 5.2.5 Comparison

Comparison of Interpreter and Transpiler as well as Comparing the two with CPU interpreter

talk about that compute portion is just too little. Only more complex expressions with higher var set count benefit well (make one or two performance evaluations, with 10 larger expressions and at least 1k var sets and present that here as point for that statement)

## Chapter 6

# Conclusion and Future Work

Summarise the results talk again how a typical input is often not complex enough (basically repeat that statement from comparison section in evaluation)

### 6.1 Future Work

talk about what can be improved

Transpiler: transpile expression directly from Julia AST -> would save time because no intermediate representation needs to be created (looses step and gains performance, but also makes transpiler itself more complex)

CPU Interpreter: Probably more worth to dive into parallelising cpu interpreter itself (not really future work, as you wouldn't write a paper about that)

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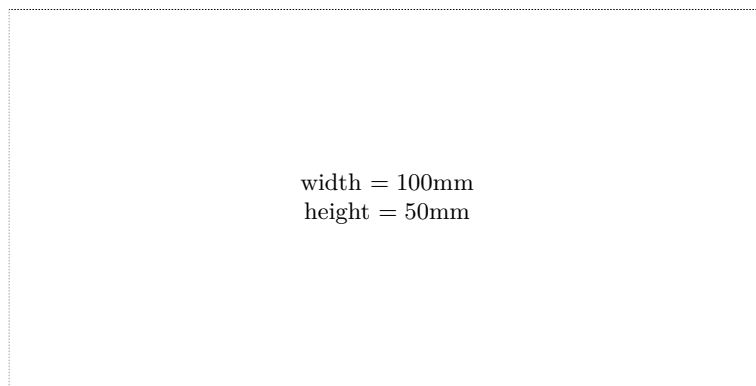
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